



Receipt

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/929,243	
	Filing Date	Aug 13, 2001	
	First Named Inventor	Bardy, Gust H.	
	Group Art Unit	3762	
	Examiner Name	Unassigned	
Total Number of Pages in This Submission	3	Attorney Docket Number	003.0220.01

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Request for Corrected Filing Receipt
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Copy of Application Transmittal Letter
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	Postcard
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Law Offices of Patrick J.S. Inouye
Signature	
Date	9/13/01

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: 9/13/01			
Type or printed name	Casey Leichter		
Signature		Date	9/13/01

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Request for Corrected Filing Receipt
Docket No. 003.0220.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
Bardy) Group Art Unit: 3762
Serial No. 09/929,243) Examiner: *Unassigned*
Filed: August 13, 2001)
For: System and Method for Patient Monitoring Using)
A Reference Baseline for Use in Automated)
Patient Care)

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed is a copy of the official Filing Receipt for the above-identified patent application, which has been marked in red ink to identify the correction needed. The correction is as follows:

Under Tot Claims, replace "30" with --42--.

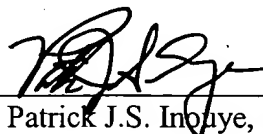
Copies of the Utility Patent Application Transmittal Letter, filed with the above-identified patent application on August 13, 2001, are enclosed.

- ☒ No fee is due with this communication. The requested corrections are due to USPTO error.
☐ Pursuant to 37 C.F.R. 1.19(h), the statutory fee of \$25.00 is enclosed.

Issuance of a corrected Filing Receipt is respectfully requested.

Respectfully submitted,

Dated: 9/13/01

By: 
Patrick J.S. Inouye, Reg. No. 40,297

The Law Offices of Patrick J.S. Inouye
810 Third Avenue, Suite 258
Seattle, WA 98104
Telephone: (206) 381-3900
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/929,243	08/13/2001	3762	/28	003.0220.01	13	30 42	4

CONFIRMATION NO. 8992

FILING RECEIPT



OC000000006485924

Law Offices of Patrick J.S. Inouye
Suite 258
810 Third Avenue
Seattle, WA 98104

Date Mailed: 08/28/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Gust H. Bardy, Seattle, WA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/686,713 10/10/2000 PAT 6,277,072
WHICH IS A DIV OF 09/361,332 07/26/1999 PAT 6,221,011

Foreign Applications

If Required, Foreign Filing License Granted 08/27/2001

Projected Publication Date: 12/06/2001

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

System and method for patient monitoring using a reference baseline for use in automated patient care

Preliminary Class

600

Data entry by : NEGASH, GHIDEI

Team : OIPE

Date: 08/28/2001



LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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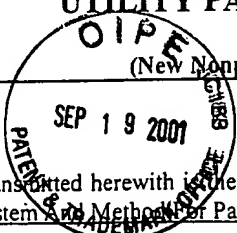
PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
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 Washington, DC 20231

UTILITY PATENT APPLICATION TRANSMITTAL Under Small Entity Status (New Nonprovisional Applications Under 37 CFR § 1.53(b))	Attorney Docket No. <u>003.0220.01</u>
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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of () application identifier or (X) first named inventor, Gust H. Bardy, entitled System and Method for Patient Monitoring Using A Reference Baseline For Use In Automated Patient Care, for a(n):

() Original Patent Application.



22895

(X) Continuing Application (prior application not abandoned):

(X) Continuation () Divisional () Continuation-in-part (CIP) PATENT TRADEMARK OFFICE
 of prior application No: 09/686,713 Filed on: 10/10/2000, pending, which is a continuation.
 of U.S. Patent No: 6,221,011 Issued on: April 24, 2001.

() A statement claiming priority under 35 USC § 120 has been added to the specification.

Enclosed are:

- (X) Specification; 40 Total Pages. (X) Drawing(s); 13 Total Sheets.
- (X) Oath or Declaration:
 - () A Newly Executed Combined Declaration and Power of Attorney:
 - () Signed. () Unsigned. () Partially Signed.
 - (X) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).
 - (X) Incorporation by Reference. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated herein by reference.
 - () Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).
- (X) Formal Drawings Transmittal Letter. (X) Return Receipt Postcard.
- () Associate Power of Attorney. (X) A Check in the amount of \$ 593 for the Filing Fee.
- (X) Applicant claims small entity status. (X) Information Disclosure Statement and Form PTO-1449.
- (X) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.
- () Other: _____

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	42	22	\$9.00	\$ 198.00
Independent Claims	4	1	\$40.00	\$ 40.00
Multiple Dependent Claims (if applicable)				\$0.00
Assignment Recording Fee				\$0.00
Basic Filing Fee				\$355.00
Total Filing Fee				\$ 593.00
Applicant claims small entity status under 37 CFR 1.27.				

Charge \$ _____ to Deposit Account 501144 pursuant to 37 CFR § 1.25. At any time during the pendency of this application, please charge any fees required or credit any overpayment to this Deposit Account.

Respectfully submitted,

By: Patrick J.S. Inouye
 Patrick J.S. Inouye, Esq., Attorney of Record
 Reg. No. 40297

Date: August 13, 2001

Correspondence Address:

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 810 Third Avenue Suite 258
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 Fax: (206) 381-3999

I hereby certify that this is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to:

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